

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Lek Pharmaceuticals d.d.

Application No./Patent No.: 10/587,376

Filed/Issue Date: _____

Titled:

Amorphous tamsulosin hydrochloride

Lek Pharmaceuticals d.d.

, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022413, Frame 0428, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

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3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

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☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Monika Le-Good
Signature

Monika Le-Good

Printed or Typed Name

7.4.09
Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Gillian McCann

Printed or Typed Name

Date

07.04.09

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

J:MARK S. GRAHAM COMPANY:P.O. BOX 1871

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DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

500810428

MARCH 18, 2009

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P.O. BOX 1871
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/18/2009

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DOCKET NUMBER: 33609-US-PCT 64653.USASSIGNOR:
HAM, ZORAN

DOC DATE: 07/31/2006

ASSIGNEE:
LEK PHARMACEUTICALS D.D.
VEROVSKOVA 5/
1526 LJUBLJANA, SLOVENIASERIAL NUMBER: 10587376
PATENT NUMBER:
TITLE: AMORPHOUS TAMSULOSIN HYDROCHLORIDEFILING DATE: 01/25/2007
ISSUE DATE:

USPTO

3/18/2009 3:57:18 PM

PAGE

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022413/0428 PAGE 2

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PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.103/18/2009
500810428

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Zoran Ham	07/31/2008
RECEIVING PARTY DATA	
Name:	Lek Pharmaceuticals d.d.
Street Address:	Verovskova 57
City:	1526 Ljubljana
State/Country:	SLOVENIA
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10587376
CORRESPONDENCE DATA	
Fax Number:	(885)523-4478
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	865-548-4305
Email:	mgraham@ing-patent.com
Correspondent Name:	Mark S. Graham
Address Line 1:	P.O. Box 1871
Address Line 4:	Knoxville, TENNESSEE 37901
ATTORNEY DOCKET NUMBER:	33609-US-PCT 64653.US
NAME OF SUBMITTER:	Mark S. Graham
Total Attachments: 1 source=64653us-touspto-20090318-ExecutedAssignment#page1.tif	

CH \$40.00 10587376

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TRADEMARK OFFICE****Facsimile Transmission**

To: **Name:** MARK S. GRAHAM
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ASSIGNMENT

I / We 1. Zoran HAM, Trg Franca Fakina 7, 1420 Trbovlje, Slovenia, citizen of Slovenia

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **LEK Pharmaceuticals d.d., a Company organized under the laws of Slovenia, Verovskova 57, 1526 Ljubljana, Slovenia**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

AMORPHOUS TAMSULOSIN HYDROCHLORIDE

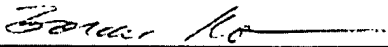
invented by me/us and described in the international application

No. PCT/EP2005/000875 filed 28.01.2005

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **LEK Pharmaceuticals d.d.**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **LEK Pharmaceuticals d.d.**

Signed on



Zoran HAM

31.7.2006



UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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**CUSTOMER
NUMBER:** 000083721

**CORRESPONDENCE
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Knoxville, TN 37901

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PHONE: 865.5464305

E-MAIL: MGRAHAM@LNG-PATENT.COM

Date Mailed: 10/20/2008

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